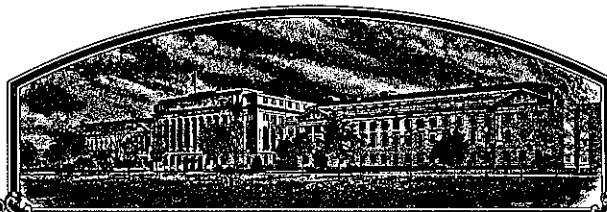


No.



8400122

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Northrup King Co.

Whereas, THERE HAS BEEN PRESENTED TO THE
Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF *eighteen* YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT (AT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'S42-40'



In Testimony Whereof, I have hereunto set
my hand and caused the seal of the Plant
Variety Protection Office to be affixed
at the City of Washington
this 28th day of June in
the year of our Lord one thousand nine
hundred and eighty-five.

Attest:

Kenneth H. Evans
Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

John R. Block
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION

FORM APPROVED: OMB NO.0581-0055

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

No certificate for plant variety protection may be issued unless a completed application form has been received (5 U.S.C. 553).

1. NAME OF APPLICANT(S) Northrup King Co.		2. TEMPORARY DESIGNATION 707197		3. VARIETY NAME S42-40	
4. ADDRESS (Street and No. or R.F.D. No., City, State, and Zip Code) P. O. Box 959 Minneapolis, MN 55440		5. PHONE (Include area code) 612-781-8011		FOR OFFICIAL USE ONLY PVPO NUMBER 8400122	
6. GENUS AND SPECIES NAME Glycine max		7. FAMILY NAME (Botanical) Leguminosae		FILING DATE 6/5/84 TIME 8:30 <input checked="" type="checkbox"/> A.M. <input type="checkbox"/> P.M.	
8. KIND NAME Soybeans		9. DATE OF DETERMINATION March, 1983		AMOUNT FOR FILING \$ 1,800 DATE 6/5/84	
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) Corporation				FEE RECEIVED AMOUNT FOR CERTIFICATE \$ 200.00 DATE 5/28/85	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION Delaware				12. DATE OF INCORPORATION 1896	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Robert W. Romig Northrup King Co. P. O. Box 959 Minneapolis, MN 55440					
14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED					
a. <input checked="" type="checkbox"/> Exhibit A, Origin and Breeding History of the Variety (See Section 52 of the Plant Variety Protection Act.)		c. <input checked="" type="checkbox"/> Exhibit C, Objective Description of the Variety (Request form from Plant Variety Protection Office.)			
b. <input checked="" type="checkbox"/> Exhibit B, Novelty Statement		d. <input checked="" type="checkbox"/> Exhibit D, Additional Description of the Variety			
15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act.) <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes (If "Yes," answer items 16 and 17 below) <input checked="" type="checkbox"/> No					
16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> Yes <input type="checkbox"/> No		17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input type="checkbox"/> Foundation <input type="checkbox"/> Registered <input type="checkbox"/> Certified			
18. DID THE APPLICANT(S) FILE FOR PROTECTION OF THE VARIETY IN THE U.S. OR OTHER COUNTRIES? <input type="checkbox"/> Yes (If "Yes," give names of countries and dates) <input checked="" type="checkbox"/> No					
19. HAVE RIGHTS BEEN GRANTED IN THE U.S. OR OTHER COUNTRIES? <input type="checkbox"/> Yes (If "Yes," give names of countries and dates) <input checked="" type="checkbox"/> No					
20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.					
SIGNATURE OF APPLICANT Robert W. Romig				DATE JUNE 1, 1984	
SIGNATURE OF APPLICANT				DATE 1	

EXHIBIT A

Origin and Breeding History of the Variety

1974-76 - The Northrup King soybean research group at Washington, Iowa, made the cross 'Williams' x 'Essex' and advanced the population to F₆. We practiced mass-selection for Group IV or earlier maturity in the F₃. In October, 1976, we selected 50 plants of Group IV or earlier maturity and threshed them individually.

1977 - We grew each of the 50 plant selections in an F₇ progeny row. One of these, numbered 707197, was selected on the basis of agronomic appearance to be tested in a preliminary yield trial. This line was subsequently named S42-40.

1978-81 - We tested S42-40 in replicated yield trials at several midwestern locations and found it to yield well in comparison to other Group IV varieties. We identified and confirmed the descriptive characteristics purple flower color, tawny pubescence, tan pods, black hila, and dull seedcoat luster.

In 1981 we initiated seed increase from 500 grams of carefully hand rogued seed. We removed all plants not conforming to the variety description by roguing the increase block several times. Growth and maturity were uniform.

1982-83 - We continued to test S42-40 in Advanced Group IV yield trials to confirm descriptive characteristics, yield, and early Group IV maturity.

We grew Breeder Seed of S42-40 in 1982 from the initial increase made in 1981. Off-type plants were removed. We produced Foundation Seed of S42-40 in 1983. The Iowa Crop Improvement Association inspected the production field and found it to meet the requirements for Foundation Seed. S42-40 was accepted as eligible for Certification by the National Soybean Variety Review Board on December 8, 1983.

Variety S42-40 is stable and uniform. We have observed no variants in five years of testing and three years of seed increase.

Northrup King will maintain varietal purity by use of progeny rows as needed.

EXHIBIT B

Novelty Statement for the Variety

Variety S42-40 is most similar to A4268. S42-40 has purple flowers,
A42⁶⁸ has white flowers.

R/S

U.S. DEPARTMENT OF AGRICULTURE
 AGRICULTURAL MARKETING SERVICE
 LIVESTOCK, MEAT, GRAIN & SEED DIVISION
 PLANT VARIETY PROTECTION OFFICE
 BELTSVILLE, MARYLAND 20705

EXHIBIT C
 (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY
 SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) Northrup King Co.	TEMPORARY DESIGNATION 707197	VARIETY NAME S42-40
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) P. O. Box 959 Minneapolis, MN 55440 Attention: Robert Romig		FOR OFFICIAL USE ONLY PVPO NUMBER 8400122

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,).

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
 4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) _____

6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP^{1a})2 = Type B (SP^{1b})

9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) _____

11. LEAFLET SIZE:

☐ 21 = Small ('Amsoy 71'; 'A5312')
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

☐ 21 = Light Green ('Weber'; 'York')
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

13. FLOWER COLOR:

☐ 2

1 = White

2 = Purple

3 = White with purple throat

14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

16. PLANT TYPES:

☐ 21 = Slender ('Essex'; 'Amsoy 71')
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

17. PLANT HABIT:

☐ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

18. MATURITY GROUP:

☐ 7

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

☐Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)☐ 1Bacterial Blight (*Pseudomonas glycinea*)☐Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

☐ 1Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)☐

Race 1

☐

Race 2

☐

Race 3

☐

Race 4

☐

Race 5

☐

Other (Specify)

☐Target Spot (*Corynespora cassicola*)☐Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐Powdery Mildew (*Microsphaera diffusa*)☐ 1Brown Stem Rot (*Cephalosporium gregatum*)☐Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

- ☒ 1 Pod and Stem Blight (*Diaporthe phaseolorum* var; *sojae*)
☒ 1 Purple Seed Stain (*Cercospora kikuchii*)
☒ 1 Rhizoctonia Root Rot (*Rhizoctonia solani*)
 Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
☒ 1 Race 1 ☒ 1 Race 2 ☒ 1 Race 3 ☒ 1 Race 4 ☒ 1 Race 5 ☒ 1 Race 6 ☒ 1 Race 7
☒ 1 Race 8 ☒ 1 Race 9 ☐ Other (Specify) _____

VIRAL DISEASES:

- ☐ Bud Blight (Tobacco Ringspot Virus)
☐ Yellow Mosaic (Bean Yellow Mosaic Virus)
☐ Cowpea Mosaic (Cowpea Chlorotic Virus)
☐ Pod Mottle (Bean Pod Mottle Virus)
☐ Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
☒ 1 Race 1 ☒ 1 Race 2 ☒ 1 Race 3 ☒ 1 Race 4 ☐ Other (Specify) _____
☐ Lance Nematode (*Hoplolaimus Colombus*)
☐ Southern Root Knot Nematode (*Meloidogyne incognita*)
☐ Northern Root Knot Nematode (*Meloidogyne Hapla*)
☐ Peanut Root Knot Nematode (*Meloidogyne arenaria*)
☐ Reniform Nematode (*Rotylenchulus reniformis*)
☐ OTHER DISEASE NOT ON FORM (Specify): _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ Iron Chlorosis on Calcareous Soil
☐ Other (Specify) _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ Mexican Bean Beetle (*Epilachna varivestis*)
☐ Potato Leaf Hopper (*Empoasca fabae*)
☐ Other (Specify) _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	Williams	Seed Coat Luster	Woodworth
Leaf Shape	S40-44	Seed Size	Mitchell
Leaf Color	A4268	Seed Shape	Mitchell
Leaf Size	S40-44	Seedling Pigmentation	Hodgson

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
Submitted	127	2.3	99	8.1	11.4	35.2	22.4	13.9	2-3
Mitchell Name of Similar Variety	130	3.3	112	8.5	11.0	36.8	21.2	13.8	2-3

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A₂ in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

EXHIBIT D

Additional Description of the Variety

Soybean variety S42-40 is an early Group IV variety maturing about four days later than Williams and three days earlier than Mitchell. S42-40 has excellent seedling emergence and high field resistance or tolerance to Phytophthora root rot.